

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Atty. Dkt.: 1767-117

Date: July 11, 2003

17410 U.S. PTO
10/616954



Sir:

Attached for filing is the patent application of:
Inventor: TAKAHASHI et al.

Entitled: ENCODING RATE CONTROLLING APPARATUS AND
INFORMATION ENCODING APPARATUS

and including attachments as noted below:

☐ Newly executed Declaration, ☒ Copy of Declaration from prior application, ☒ Abstract (one page)
56 pages of specification and claims (including 33 numbered claims), and
11 sheets of accompanying drawings (Figs. 1-11).

☐ Record the attached assignment and return to the undersigned.

☐ Attached is a Power of Attorney.

☒ Priority is hereby claimed under 35 U.S.C. §§ 119 and/or 120 based on the following foreign applications:

Application Number

10-302294

11-237817

09/426,581

Country

JP

JP

U.S.

Day/Month/Year Filed

23-OCT-1998

25-AUG-1999

25-OCT-1999

, respectively, the entire content of which is hereby incorporated by reference in this application.

☐ Certified copy(ies) of foreign application(s) is/are attached.

☒ Certified copy(ies) filed on October 25, 1999 in prior appln. no.

☐ This application claims the benefit of Provisional Application No.

09/426,581 filed 25-Oct-1999
, filed , the entire content of which is

☒ This application is a ☒ continuation/☐ division/☐ continuation-in-part of Application No. 09/426,581, filed October

25, 1999, the entire content of which is hereby incorporated by reference in this application.

☐ Petition filed in prior application to extend its life to insure co-pendency.

☒ The prior application is assigned to Pioneer Corporation.

☒ It is hereby requested that the Examiner consider the art cited in the parent application by applicant and/or the

Examiner.

☒ Please enter the attached and/or below preliminary amendment prior to calculation of filing fee:

☒ Also attached: ☒ Information Disclosure Statement; ☐ Non-Publication Request; ☐ Nucleotide and/or
Amino Acid Sequence Submission; ☐ Statement deleting inventor(s) named in prior application; ☐ Other:

FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY HERewith CANCELED

Basic Filing Fee

Total effective claims 33 - 20 (at least 20) = 13 x \$ 18.00

Independent claims 6 - 3 (at least 3) = 3 x \$ 84.00

If any proper multiple dependent claims now added for first time, add \$280.00 (ignore improper)

If "small entity," then enter half (1/2) of subtotal and subtract

Assignment Recording Fee (\$40.00)

SUBTOTAL \$ 1236.00

SECOND SUBTOTAL \$ (0.00)

TOTAL FEE ENCLOSED \$ 1,236.00

Any future submission requiring an extension of time is hereby stated to include a petition for such time extension.
The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed,
or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140.

A duplicate copy of this sheet is attached.

Correspondence Address:

Customer Number: 23117

Telephone: (703) 816-4000

Facsimile: (703) 816-4100

MJS:dbp

NIXON & VANDERHYE P.C.

By Atty: Michael J. Shea, Reg. No. 34,725

Signature:

Michael J. Shea